

Assurances

1. The Contracting Agency assures that it and its subrecipients will establish in accordance with WIA Section 184, fiscal control and fund accounting procedures that may be necessary to ensure the proper disbursement of and accounting for funds made available by the contract agreement.
2. The Contracting Agency assures that it and its subrecipients will comply with the requirements of the common rule uniform administrative requirements (or as amended) applicable to the type entity receiving WIA Title I funding under this agreement.
 - 29 CFR, Part 97 – Uniform Administrative Requirements for Grants and Cooperative Agreement to State and Local Governments; or
 - 29 CFR, Part 95 – Uniform Administrative Requirements for Grants and Other Agreements with Institutions of Higher Education, Hospitals and other Non-Profit Organizations, and with Commercial Organizations.
3. The Contracting Agency assures that it and its subrecipients will comply with the federal allowable costs/cost principles that apply to the type entity receiving WIA Title I funding under this agreement. The regulation at 29 CFR 95.27 and 29 CFR 97.22 (and 20 CFR 667.200(c)(1-5) identify the federal principals for determining allowable costs. Additionally to the allowable costs provisions identified above, the cost of information technology (computer hardware and software) will only be allowable under WIA Title I when such computer technology is “Year 2000 Compliant” in accordance with 20 CFR 667.200(c)(6).
4. The Contracting Agency assures that it and its subrecipients will comply with:
 - Restrictions regarding Lobbying codified at 29 CFR Part 93.
 - Requirements for a drug-free workplace codified at 29 CFR Part 98.
 - Requirements for Debarment and Suspension as codified at 29 CFR Part 98.
 - Requirements of the American’s with Disabilities Act of 1990 (or as amended) and associated Code of Federal Regulations as applicable to the entity directly or indirectly as recipients of contracted funds from the State of Missouri.
 - Nondiscrimination and Equal Opportunity provisions codified at 29 CFR Part 37.
5. The Contracting Agency assures that it and its subrecipients will annually monitor and resolve monitoring findings of subrecipients receiving funds under WIA Title I. Such monitoring shall be done in accordance with WIA Section 184(a)(4), 20 CFR 667.400, 20 CFR 667.410, 20 CFR 667.500 and additional requirements as issued by the Contractor.
6. The Contracting Agency assures that it and its subrecipients will establish and maintain a procedure for grievances and complaints according to the requirements of 20 CFR 667.600 and additional requirements as issued by the contractor.

2/22/2007

7. The Contracting Agency assures to the extent practicable that it and its subrecipients will afford employment and training activities authorized in WIA Section 134 to Veterans. Additionally, it shall be assured that veterans services will be provided in compliance with 38 U.S.C. Chapter 41 and 20 CFR 1001.100 et sq.
8. The Contracting Agency assures that it and its subrecipients shall comply with the confidentiality requirements of WIA Section 136(f)(3).
9. The Contracting Agency assures that it and its subrecipients will not use funds received under WIA to assist, promote, or deter union organizing in accordance with WIA Section 181 (b)(7).
10. The Contracting Agency assures that it and its subrecipients with regard to Nepotism shall comply with 20 CFR 667.200(g)(1)(2).
11. The Contracting Agency assures that it and its subrecipients will not expend funds provided under WIA Title I for those activities identified and prohibited in 20 CFR 667.260, 667.262, 667.264, 667.266, 667.268, and 667.270.
12. The Contracting Agency assures that it and its subrecipients receiving WIA Title I funds will provide services through the Local Workforce Investment Area Plan that are consistent with the State of Missouri Workforce Investment Plan (or as modified).
13. The Contracting Agency assures that it and its subrecipients will expend funds provided by the contract agreement in accordance with WIA, WIA regulations, Department of Labor and Division of Workforce Development guidance, and all other applicable federal, state, or local laws.
14. The Contracting Agency signatory by signature of the contract agreement provides the following Certification Regarding Lobbying in accordance with 29 CFR Part 93 and certifies that to the best of his or her knowledge and belief:
 - No federal appropriated funds have been paid or will be paid, by or on behalf of the signatory, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
 - If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the signatory shall complete and submit Standard Form LLL "Disclosure of Lobbying Activities", in accordance with its instructions. Revised 1996 form found at www.whitehouse.gov/omb/grants/index.
 - The signatory shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under

2/22/2007

grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

- This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.